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LRR/ICS/mdm
October 11 2004

PATENT APPLICATION
DOCKET NO.: 3128.1014-001

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Michael D. Mills and Craig E. Carroll
Application No.: 10/706,870 Group Art Unit: 2853
Filed: November 12, 2003 Examiner: Not yet assigned
Confirmation No.: 6742
Title: IDENTIFICATION SYSTEM FOR INKS IN PRINTING SYSTEMS

| | |
|---|-----------|
| CERTIFICATE OF MAILING OR TRANSMISSION | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on: | |
| 10/12/04 | MM |
| Date | Signature |
| Meredith Murray | |
| Typed or printed name of person signing certificate | |

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)

under 37 CFR 1.97(b), or

(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).

under 37 CFR 1.97(c) together with either:

a Statement under 37 CFR 1.97(e), as checked below, or

a \$180.00 fee under 37 CFR 1.17(p), or

(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

under 37 CFR 1.97(d) together with:

a Statement under 37 CFR 1.97(e), as checked below, and

a \$180.00 fee under 37 CFR 1.17(p), or

(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)

under 37 CFR 1.97(j):

Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper.
(Filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- [] Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- [] No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

Applies to original applications (other than design) filed on or after May 29, 2000

- [] Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

[X] Enclosed herewith is form PTO-1449:

[X] Copies of the cited references are enclosed.

[X] Since this application was filed after June 30, 2003, copies of issued U.S. patents and published U.S. applications are not required and are not being provided.

[] Copies of the cited references are enclosed except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed. [The earlier application contains copies of the cited references.]

[] The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

[] The "concise explanation" requirement (non-English references) for reference(s) [] under 37 CFR 1.98(a)(3) is satisfied by:

[] the explanation provided on the attached sheet.

[] the explanation provided in the Specification.

[] submission of the enclosed International Search Report.

[] submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.

[] the enclosed English language abstract.

[] Applicant requests that the following non-published pending applications be considered:

Examiner's
Initials

____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []
____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []
____ U.S. Patent Application No. [], by [inventor(s)], filed [], Docket No.: []

Examiner _____

Date _____

[] A copy of each above-cited application, including the current claims, is enclosed.
[] A copy of each above-cited application, including the current claims, is enclosed, except those entered in prior application, U.S. Application No. [], to which priority under 35 U.S.C. 120 is claimed.

The Examiner is requested to return a copy of the above list of pending applications indicating which references were considered with the next office communication.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

[] A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
[] Please charge Deposit Account 08-0380 in the amount of \$[]. A copy of this Statement is enclosed.
[X] Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Kevin T. Shaughnessy
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Dated: 10/12/04

PTO-1449 REPRODUCED

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

October 6, 2004

OCT 14 2004

(Use several sheets if necessary)

ATTORNEY DOCKET NO.
3128.1014-001APPLICATION NO.
10/706,870FIRST NAMED INVENTOR
Michael D. MillsFILING DATE
November 12, 2003EXAMINER
Not yet assignedCONFIRMATION NO.
6742GROUP
2853

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | REF. NO. | DOCUMENT NUMBER Number-Kind Code (if known) | ISSUE DATE / PUBLICATION DATE MM-DD-YYYY | NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT |
|------------------|----------|--|--|--|
| | AA | 4,384,288 | 05/17/1983 | Walton |
| | AB | 4,926,187 | 05/15/1990 | Sugawara, <i>et al.</i> |
| | AC | 5,444,223 | 08/22/1995 | Blama |
| | AD | 5,574,470 | 11/12/1996 | de Vall |
| | AE | 5,604,485 | 02/18/1997 | Lauro, <i>et al.</i> |
| | AF | 5,838,253 | 11/17/1998 | Wurz, <i>et al.</i> |
| | AG | 5,874,902 | 02/23/1999 | Heinrich, <i>et al.</i> |
| | AH | 5,914,862 | 06/22/1999 | Ferguson, <i>et al.</i> |
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| | AJ | 5,986,569 | 11/16/1999 | Mish, <i>et al.</i> |
| | AK | 5,995,006 | 11/30/1999 | Walsh |
| | AA2 | 6,043,746 | 03/28/2000 | Sorrells |
| | AB2 | 6,078,259 | 06/20/2000 | Brady, <i>et al.</i> |
| | AC2 | 6,097,301 | 08/01/2000 | Tuttle |
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| | AF2 | 6,104,281 | 08/15/2000 | Heinrich, <i>et al.</i> |
| | AG2 | 6,206,282 B1 | 03/27/2001 | Hayes, Sr., <i>et al.</i> |
| | AH2 | 6,218,942 B1 | 04/17/2001 | Vega, <i>et al.</i> |
| | AI2 | 6,232,870 B1 | 05/15/2001 | Garber, <i>et al.</i> |
| | AJ2 | 6,265,977 B1 | 07/24/2001 | Vega, <i>et al.</i> |
| | AK2 | 6,305,548 B1 | 10/23/2001 | Sato, <i>et al.</i> |
| | AA3 | 6,346,881 B1 | 02/12/2002 | Davidson |
| | AB3 | 6,644,771 B1 | 11/11/2003 | Silverbrook |
| | AC3 | | | |

EXAMINER

DATE CONSIDERED

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|---|--|--|----------------------------------|
| PTO-1449 REPRODUCED INFORMATION DISCLOSURE CITATION IN AN APPLICATION October 6, 2004 (Use several sheets if necessary) | | ATTORNEY DOCKET NO. 3128.1014-001 | APPLICATION NO. 10/706,870 |
| | | FIRST NAMED INVENTOR Michael D. Mills | FILING DATE November 12, 2003 |
| | | EXAMINER Not yet assigned | CONFIRMATION NO. 6742 |
| | | | GROUP 2853 |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|-----|---|
| AR | RFID, Inc., HARDWARE SETTINGS, STX reader, pp. 1-3. |
| AS | RFID, Inc., INSTALLATION AND OPERATING MANUAL, Model SR1 and Model SR2, Simple Reader Writer, Version 3/00, pp. 1-10. |
| AT | |
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| AS2 | |
| AT2 | |
| AU2 | |
| AV2 | |
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